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FAST DISSOLVING ORAL FILM: OVERVIEW

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ABSTRACT: The purpose of the current review is to enlighten the present and the future prospective on oral fast dissolving films (OFDFs) as a drug delivery system as they are gaining interest as a substitute of fast dissolving tablets. Tablets/capsules to modified release tablets/capsules to oral disintegrating tablet to wafer to the recent development of fast dissolving oral films. Fast dissolving drug delivery systems were first invented in the late 1970s as to overcome swallowing difficulties associated with tablets and capsules for pediatric and geriatric patients. Also, solid oral delivery systems do not require sterile conditions and are therefore less expensive to manufacture, but oral drug delivery systems still need some advancements to be made because of their drawbacks related to particular class of patients which includes geriatric, pediatric and dysphagia patients associated with many medical conditions as they have difficulty in swallowing or chewing solid dosage forms. Many pediatrics and geriatric patients are unwilling to take solid preparations due to fear of choking because of tablet appearance and patients experienced difficulty in swallowing tablets. Even fast dissolving tablets there are a fear of choking due to its tablet type appearance. For the last two decades, there had been an enhanced demand for patient-compliant dosage forms. Research and development in the oral drug delivery segment had led to transition of dosage form from simple conventional tablets / capsules to modified release tablets/capsules to. Oral disintegrating tablet (ODT) to wafer to the recent development of oral dissolving film, "a thin film that is prepared using hydrophilic polymers that rapidly dissolves on the tongue or buccal cavity". Fast dissolving oral films (FDOFs) are the most advanced form of oral solid dosage form due to more flexibility and comfort. It improves the efficacy of APIs by dissolving within minute in oral cavity after the contact with saliva without chewing and no need of water for administration. It gives quick absorption and instant bioavailability of drugs due to high blood flow and permeability of oral mucosa is 4-1000 times greater than that of skin. Fast dissolving oral films are fast disintegrating thin films having an area ranging from 5 to 20 cm² in which drug is incorporated in the form of matrix using hydrophilic polymer. Active pharmaceutical ingredient can be incorporated up to 15 mg along with other excipients *i.e.*, plasticizers, colorants, sweeteners, taste masking agents, etc. Plasticizer increases workability, spreadability and flexibility of films thereby reducing the glass transition temperature of polymers. Fast dissolving films are very similar to ultra-thin strip of postage stamp in their shape, size and thickness. They quickly disintegrate and dissolve, and there is no need of water for their administration, making them suitable for pediatric and geriatric patients. Solvent casting method is commonly used for OFDFs preparation. Films prepared should be evaluated for organoleptic properties, thickness, tackiness, tensile strength, folding endurance, disintegration and *in-vitro* drug release.

Keywords: Fast dissolving film, Folding endurance, Disintegration test time, Dissolution

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INTRODUCTION: The oral route is the most popular route for the administration of therapeutic agents.

The epithelial lining of the oral cavity differs in type (keratinized and non-keratinized), and thickness in different areas, these differences give rise to regional variation in permeability of drugs¹.

The buccal mucosa is a promising delivery route for drug administration. It offers several advantages as the mucosa is well supplied with both vascular and lymphatic drainage. First pass metabolism in liver and pre-systemic elimination in the gastro intestinal tract (GIT) is avoided.

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With the right dosage form design and formulation, the permeability and the local environment of the mucosa can be controlled and manipulated in order to accommodate drug permeation. Oral fast dissolving films (OFDFs) will provide increase in the bioavailability, low cost of therapy and ease of administration which will lead to patient compliance^{2, 3}. OFDFs administered through buccal route to increase patient compliance, achieve minimum dissolution time in oral buccal mucosa in order to reach systemic circulation with fastest onset of action, solve a specific defect of other dosage form and formulating a film dosage form alternative to capsules and tablets⁴.

Among the different routes of drug delivery, oral route is nominated to be the most favoured and highly accepted to patients and physicians⁵. The buccal region is one of the most convenient and easily approachable routes of administration for the delivery of the therapeutic agents to be used locally and systemically. The mucosa is considered one of

the potential sites of drug administration. Trans-mucosal routes of drug delivery offer important advantages over the oral administration⁶.

The buccal drug delivery system prolong the residence time of dosage form at the site of action, improves the therapeutic performance of the drug and provides a better enzymatic flora for drug absorption. It provides direct entry of drug into the systemic circulation, therefore, avoid all the drawbacks of the per-oral administration of drugs as hepatic first pass metabolism, pre-systemic elimination of GIT by enzymatic degradation, as shown in **Table 1**, that prohibit oral administration of certain types of drugs especially proteins and peptides.

The mucosa is relatively permeable and rich with blood supply, it is firm, strong and it shows short recovery times after stress or damage. An important point is that the lack of langerhans cells makes the buccal mucosa tolerant to potential allergies⁷.

TABLE 1: SHOWING ENZYMATIC DEGRADATION IN GIT⁷

Major digestive enzymes				
Enzyme	Produced in	Site of release	pH level	
Carbohydrate digestion				
Salivary amylase	Salivary gland	Mouth	Neutral	
Pancreatic amylase	Pancreas	Small intestine	Basic	
Maltase	Small intestine	Small intestine	Basic	
Protein digestion				
Pepsin	Gastric glands	Stomach	Acidic	
Trypsin	Pancreas	Small intestine	Basic	
Peptidases	Small intestine	Small intestine	Basic	
Nucleic acid digestion				
Nuclease	Pancreas	Small intestine	Basic	
Nucleosidases	Pancreas	Small intestine	Basic	
Fat digestion				
Lipase	Pancreas	Small intestine	Basic	

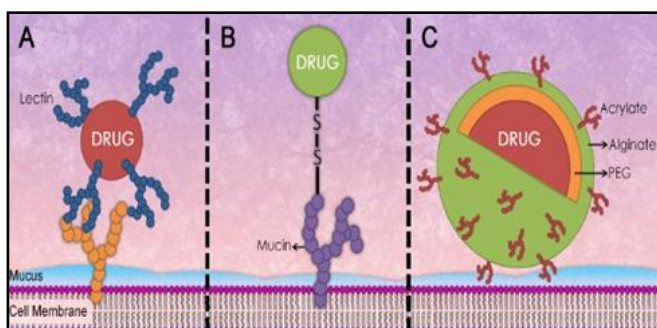


FIG. 1: INTERFACIAL ATTRACTION FORCE BY MEMBRANE COATING GRANULES (MCG)⁹

Buccal cavity is an attractive and achievable site for systemic drug delivery as it increases the bioavailability. Bio-adhesion can be described as a

phenomenon of interfacial attraction forces, in which two materials are held together, it occurs between the surfaces of biological, subtract of the natural or synthetic polymers, which allows the polymer to adhere to biological surface for an extended period of time as shown in **Fig. 1**. Generally, bio-adhesion is a term that indicates adhesive interactions with biological or non-biological derived substances^{8,9}.

Benefits of the Buccal Route: Buccal drug delivery has high patient acceptance compared to other routes of drug administration. It has rapid onset of action unlike the oral route, also it helps

the avoidance of the pain associated with injections, and it is more accessible for administration and the removal of the dosage form. Buccal drug delivery provides more quick and effective absorption^{6, 2}. Side effects of oral route will be avoided as nausea and vomiting. A vital point is that the drug absorption can be achieved in cases of unconscious, less co-operative patients and emergency cases^{5, 4}. Drugs that show poor bioavailability *via* oral route, can be administered conveniently, as drugs that are unstable in acidic environment of the stomach or destroyed by the enzymes or the alkaline environment of the intestine can be administered through the buccal cavity as it will also show obvious reduction of side effects that are related to the dose^{6, 2}.

Buccal drug delivery allows the drug to directly enter the systemic circulation; it also provides passive system that doesn't require activation. Buccal delivery drugs must have the ability to withstand extremes of environment like changes in temperature and pH. It has the ability to deliver peptide molecules that are unstable to be administered by the oral route. Buccal drug delivery system can be used as sustained drug delivery^{7, 3}.

Limitations on the Drugs to be Administered by the Buccal Route: Some drugs, if taken by the buccal route may cause irritation of the buccal mucosa, allergic reactions, discoloration of the teeth, some of them have unpleasant or bitter taste, awful odor and unstable in the buccal pH; these drugs cannot be administered by this route. Also swallowing of saliva can lead to loss of suspended or dissolved drug particles. Once the dosage form is placed on the absorption site, it should not be disturbed neither by eating nor drinking, drawbacks can happen as the patient might swallow the formulation. Buccal drug delivery is mainly used only with drugs absorbed by passive diffusion. If the formulation of the drug contains antimicrobial agent, it will affect the natural microbes present in the buccal cavity. Also low permeability of the buccal membrane compared to sublingual membrane and continuous secretion of saliva may lead to dilution of the drug^{6, 2}.

Anatomy of the Buccal Mucosa: The buccal region is a part of the mouth, bounded anteriorly

and laterally by lips and cheeks, posteriorly and medially by the teeth and gums and above and below by the reflection of mucosa from the lips and cheeks to gum as shown in **Fig. 2**⁸.

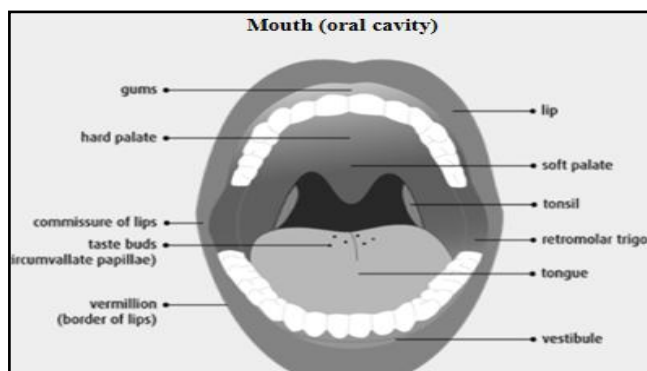


FIG. 2: ANATOMY OF THE MOUTH⁸

Maxillary artery supplies blood to buccal mucosa and blood flow is faster and richer (2.4 ml/min/cm²) than that in sublingual and gingival regions, therefore it facilitates the passive diffusion of drug molecules across the mucosa as shown in **Fig. 3**⁶.

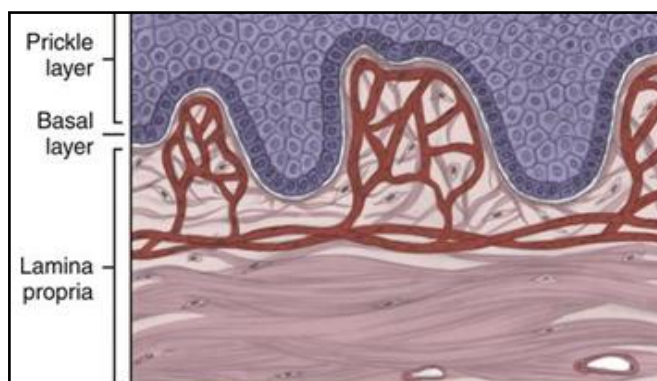


FIG. 3: BLOOD SUPPLY TO THE BUCCAL MUCOSA⁶

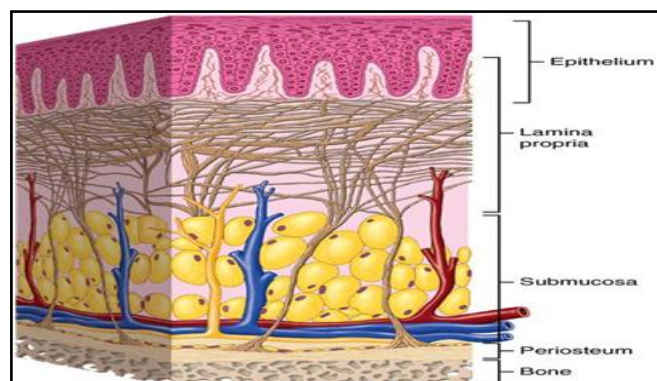


FIG. 4: CROSS SECTION OF THE BUCCAL MUCOSAL LAYERS⁶

The buccal mucosa is composed of several layers with different cells, it is composed of an outermost layer of stratified squamous epithelium, below it lies a basement membrane, and then an inter

mediate layer called lamina propria followed by the inner most layer called submucosa as shown in Fig. 4⁶.

Epithelium: It is a protective layer for the tissues beneath it, it is divided into two parts; keratinized and non-keratinized surface. Non-keratinized epithelium is found in the soft palate, sublingual region and the buccal region which is our main concern. It contains small amounts of ceramide with noacyl-ceramides. It also contain small amounts of neutral but polar lipids, mainly cholesterol sulfate and glucosyl ceramides. These epithelia are found to be considerably more

permeable to water than keratinized epithelia. Keratinized epithelium is found in the hard palate and non-flexible regions of the oral cavity that are impermeable to water as it is associated with barrier. The epithelium of the buccal mucosa is about 40 to 50 cells layer thick, epithelial cells increase in size and become flatter as they travel from the basal layers to the superficial layer. The epithelia are rough in texture with surface area 52.2 cm². The turnover time of the buccal epithelium is estimated at 5-6 days. The thickness of mucosa in humans, dogs and rabbits has been determined approximately 500-800 μm as shown in Fig. 5^{6,2}.

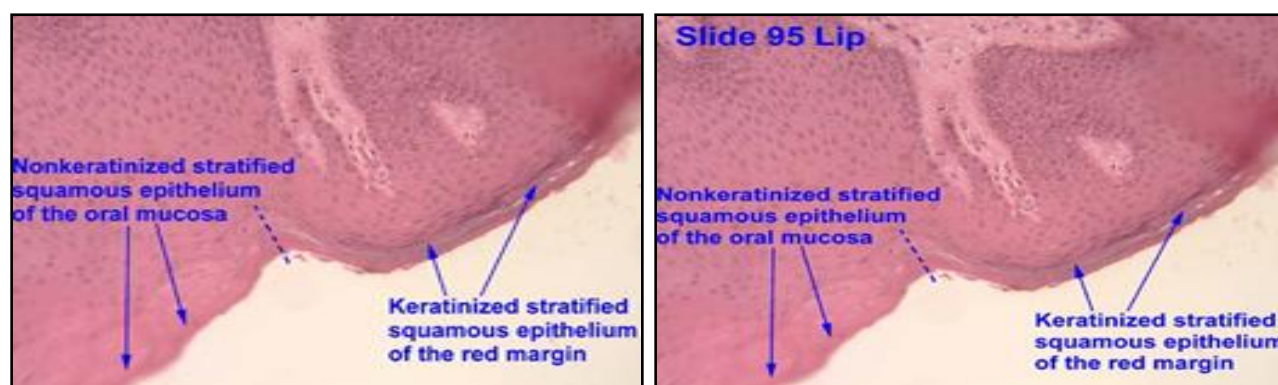


FIG. 5: KERATINIZED AND NON-KERATINIZED EPITHELIA⁶

Basement Membrane: It provides adherence between the underlining connective tissues and the epithelium acts as a mechanical support for the epithelium.

Lamina Propria: It consists of collagen fibers, a supporting layer of connective tissues, smooth muscles, blood vessels and capillaries that open to the internal jugular vein. The rich arterial blood supply to the mucosa membrane is derived from the external carotid artery^{7,3}.

Submucosa: A gel like secretion known as mucus covers the entire oral cavity that acts as a protective layer to the cells below and it consists of insoluble glycoproteins, water, small quantities of proteins, enzymes, electrolytes and nucleic acid⁴.

Environment of the Buccal Cavity: The cells of the oral epithelia are surrounded by mucus; an intercellular ground substance, which is the principle component of which complexes are made of proteins and carbohydrates. This matrix plays a role in cell-cell adhesion as well as a lubricant; the mucus also plays a role in bio-adhesion of muco-

adhesive drug delivery system. At physiological pH, the mucus network carries a negative charge so, at this pH mucus can form a strong, cohesive gel structure that will bind to the epithelial cell surface as gelatinous layer⁸.

On the other hand, saliva; is an aqueous fluid with 1% organic and inorganic materials, which protects soft tissues from abrasion by rough materials and chemicals. The salivary pH ranges from 5.5 to 7. Depending on the flow rate, the daily salivary volume is between 0.5 to 2 liters and it's the volume of fluid that is available to hydrate oral mucosal dosage forms. The selection of hydrophilic polymeric devices as a vehicle for buccal drug delivery is due to this water rich environment of the oral cavity⁸.

Permeability of the Buccal Mucosa: Permeability of the oral mucosa is relatively low, but it differs among various parts of the oral region, taking into consideration that the buccal membrane is more permeable than other parts in the oral region. The buccal mucosa forms a barrier to drug permeation, the effects of this barrier and buccal absorption are

the factors affecting the drug administration. It is estimated that the buccal mucosa permeability is 4-4000 times greater than the skin. The order of permeability of the oral cavity is decreasing in order of sublingual then the buccal, and then the palate. This order depends on the relative thickness and the degree of keratinisation⁶. The permeability coefficient of a drug is used to measure the ease of which the drug can permeate the membrane. The permeability coefficient is the function of the degree of keratinization of these tissues, physiochemical properties of the drug and the membrane thickness. It is believed that the permeability barrier in the oral mucosa is a result of intercellular material derived from the so-called membrane coating granules (MCG) which is either keratinized and non-keratinized^{8,4}.

Buccal Absorption Pathway: There are two permeation pathways for passive drug transport across the oral mucosa: para-cellular and trans-cellular routes. Permeants can use these two routes simultaneously, but one route is usually preferred over the other depending on the physiochemical properties of the diffusing drug. Since; the intercellular spaces and cytoplasm are hydrophilic in nature, so lipophilic compounds would have low solubility in this environment. The cell membrane, however, is lipophilic in character, so hydrophilic solutes will have difficulty permeating through the cell membrane due to partition coefficient. Therefore, the intercellular space pose as the major barrier to permeation of lipophilic compound and the cell membrane acts as the major transport barrier for hydrophilic compounds. In the oral epithelium, the permeation of the solute may involve combination of these routes⁶. There are different modes of permeation of the buccal cavity which are passive diffusion and endocytosis.

Firstly, the passive diffusion involves carriers, channels, or direct diffusion through a membrane. This type of transport always operates from regions of greater concentration to regions of lesser concentration. No external source of energy is required; passive diffusion is either trans-cellular or intracellular, which is crossing the cell membrane and entering the cell, so lipophilic molecules can permeate or paracellular or intercellular, which is by passing between the cells, so hydrophilic molecules can permeate; this is shown in **Fig. 6**.

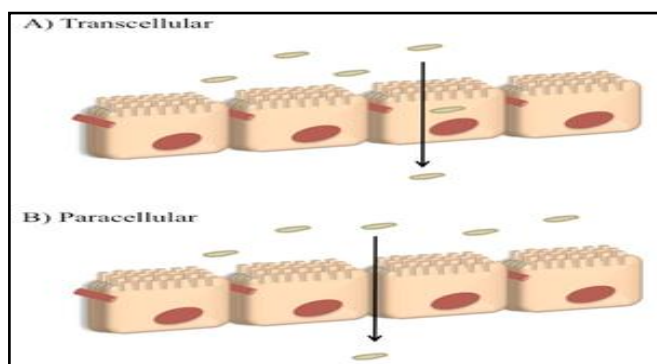


FIG. 6: PASSIVE DIFFUSION PATHWAY⁴

Secondly for the endocytosis, which is a process where the drug molecules are engulfed by the cells. It is of two types; phagocytosis which indicates the engulfment of solid drug molecules and pinocytosis that indicates the engulfment of liquid drug molecules as shown in **Fig. 7¹⁰**.

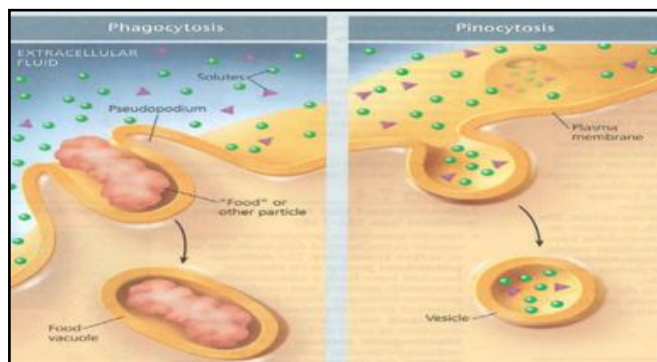


FIG. 7: ENDOCYTOSIS DIFFUSION¹⁰

Barriers to Penetrate across the Buccal Mucosa: Barriers such as membrane coating granules, mucus, saliva and basement membrane affect the rate and extent of the drug absorption through buccal mucosa.

Membrane Coating Granules (Cores Granules): The main permeability barrier property of buccal mucosa is due to intercellular materials derived from the so-called membrane coating granules (MCG). They are spherical granules that are 100-300 nm in diameter that are found in both non-keratinized and keratinized epithelia. The main function of MCG is cell adhesion, membrane thickening effect, cell surface coat production and permeability barrier¹¹.

Mucus: Mucus is composed of mucins and inorganic salts suspended in water. It acts as a lubricant which allows cells to move relative to one another. Mucus plays an important role in the adhesion of bio-adhesive drug delivery system⁷.

Saliva: It initiates the digestion process, moistens the mouth and controls the bacterial flora of the oral cavity. Constant flowing down of saliva in the oral cavity makes it very difficult for drugs to be administered for a significant amount of time in order to facilitate absorption in this site ¹¹.

Basement Membrane: The basement membrane plays an important role in limiting the passage of materials across the junction between epithelium and connective tissues. The charge on the components of the basal lamina will limit the rate of penetration of the lipophilic compounds that can pass the superficial epithelial barrier relatively easy ⁷.

Physiochemical Properties of the Drug Affect Absorption of Drug: There are some variables that can affect drug absorption, including physico-chemical properties of the drug as ^{2,3}:

Molecular Size: For the hydrophilic substance, the rate of absorption is dependent upon the molecular size. Small molecules (<75-100 Daltons (Da)) cross the mucosa rapidly. However, this permeability decreases as the molecular size increases.

Lipid Solubility: The permeability is dependent upon the oil water partition coefficient, so more lipid compounds have higher permeability.

Ionization: The degree of ionization depends on the function of both pH and pKa at the mucosal surface. For weak acids and bases, only the unionized forms are lipid soluble. As ionization increase, maximum absorption of many compounds is achieved.

Physiological Factors Affecting the Buccal Bioavailability: Other variables that can affect drug absorption are the physiological factors of the buccal cavity as:

Inherent Permeability of the Epithelium: The oral mucosal epithelium permeability is intermediate between that of the skin, which is specialized with its barrier function, and the gut epithelium, which is specialized with high absorption function.

Thickness of Epithelium: It differs among the sites in the oral cavity. The buccal mucosa is approximately 500-800 μm thick.

Blood Supply: Rich blood supply and lymphatic drainage is present in the lamina propria, the blood flow in the buccal mucosa is $2.4 \text{ ml min}^{-1} \text{ cm}$, which serve the oral cavity so the drug moieties that passes the epithelium will be totally absorbed into the systemic circulation.

Mucosa and Saliva: The oral mucosal surfaces are constantly washed by saliva stream with approximation of 0.5-2L/day. Since the buccal area is exposed to a lot of saliva, this will enhance the drug dissolution increasing its bioavailability.

Metabolic Activity: Drug moieties absorbed through the oral epithelium are directly delivered to the blood circulation, therefore, avoiding the first pass effect caused by the liver and gut walls. So, the oral mucosal drug delivery is an attractive way for delivering enzymatic liable drugs as therapeutic proteins and peptides.

Ability to Retain Delivery System: The buccal mucosa provides a smooth and immobile surface that is ideally suited to the use of retentive delivery system.

Species Differences: Rodents' buccal mucosa contains a highly keratinized epithelium, so they are not very suitable as animal models when studying the buccal cavity.

The Oral Fast Dissolving Film: Orally Fast Dissolving Film as shown in **Fig. 8** is a new drug delivery system for the oral delivery of the drugs. It was developed on the basis of technology of the transdermal patch. The delivery system consists of a very thin oral strip, which is simply placed on the patient's tongue or any oral mucosal tissue, instantly wet by saliva, where the film rapidly hydrates and adheres onto the site of application. It then rapidly disintegrates and dissolves to release the medication ¹¹.

Pharmaceutical industry technology is growing year by year. Researchers and scientists led to that growth in two different ways, discovering new molecules to treat a specific disease or enhancing the administration of well-known molecules by enhancing their dosage forms and route of administration. Both approaches got the same aim which is achieving the goal of treatment.



FIG. 8: OFDFs¹²

The technology of manufacturing of the exciting molecule is an advanced way to improve and discard its possible drawbacks which either due to the drug harmful side effects on its site of action or the response of the body different systems and organs on the drug, affecting its bioavailability and metabolism. Another factor that must be considered is the patient himself; they also may have a direct effect on the treatment therapy that could happen in many ways like; not following the right procedure in taking certain drugs or ignoring the required dose and concentration.

Also patients may have a direct effect results from their state of health like consciousness, some disease affecting the drug absorption and if the patient is susceptible to injection or not. Many more reasons could also result in not achieving the goal of treatment, those reasons led to the research centers and the pharmaceutical industries to pay more attention and focus on the developing of the dosage forms and even discovering new routes of administration. OFDFs are one of the dosage forms that are gaining a lot of interest in the pharmaceutical industry¹².

Benefits of Oral Fast Dissolving Films: Due to the presence of larger surface area, films provide rapid disintegration and dissolution in the oral cavity. OFDFs are flexible and portable in nature so they provide ease in transportation, during consumer handling and storage. It is suitable for dysphagia patients, patients who are mentally ill, patients who are un-cooperative, or are on reduced liquid intake plans or nauseated. The film is beneficial in emergency cases such as motion sickness, acute pain, and sudden episodes of allergic attack or coughing, where an ultra-rapid onset of action is required. Stable for long duration, since

the drug remains in solid dosage form till it is consumed¹⁴. So, it combines advantage of solid dosage form in terms of stability and liquid dosage form in terms of bioavailability¹². The sublingual and buccal delivery of a drug *via* thin film has the potential to improve the onset of action, lowering the dosing, and enhance the efficacy and safety profile of the medicament, provide new business opportunity like product differentiation, product promotion¹².

Limitations of Oral Fast Dissolving Films: The OFDFs have some drawbacks concerning the dose uniformity for each strip to another strip as it is a challenge to keep the same dose for all strips. Another drawback is the drug loading capacity, as not all drugs can be loaded on the OFDFs because there are some requirements for the drug to be loaded on the strip; one of them is the drug concentration/dose¹⁴. Since that the maximum dose to be loaded is 75 mg; therefore not all drugs can be loaded on the film.

Moreover, there are some drawbacks concerning the packaging of the strips which needs some special requirements as it is hard to be packed and at the same time the pack must not interact with the film, also make sure that the film is stable inside it. Finally, the last drawback for the OFDFs are that there are number of technical problems with the use of the film strips as an example; its thickness while casting the film¹⁴.

Film Forming Matrix: The matrix of OFDFs has special considerations to make a suitable thin film to disintegrate within buccal cavity. They must be safe, nontoxic, and non-irritant to be used orally. Moreover the film components must serve in achieving the important characters of the film like, water solubility and stability.

There are several ingredients used to compose a film. The most important components are the polymers and plasticizers. The other formula components are used according the desired function or type of the film example saliva stimulating agent, sweetening agent, surfactants, coloring agents, flavoring agents. All the ingredients used in the film are added with certain concentration as shown in **Table 2**¹⁴.

TABLE 1: GENERAL COMPOSITION OF OFDFs¹⁴

Ingredients	Concentration (%)
Active pharmaceutical ingredient	1-25
Polymer	40-50
Plasticizer	0-20
Colors, flavors, fillers	0-40

Film Formulating Polymers: The type of polymers is chosen according to the function required in the dosage form. Concerning all those critical parameters, the polymers can change in the film stability. A variety of polymers are available for preparation of OFDFs. The polymers can be used alone or in combination to improve hydrophilicity, flexibility, and mouth feel and solubility characteristics of OFDFs. The stiffness of the film depends on the type of polymer and the amount of polymer in the formulation. The polymer employed

should be non-toxic, non-irritant with good wetting and spread ability property.

The polymer should not be very expensive and should be readily available. Water soluble polymer that may be used include natural gums such as xanthan, guar, acacia, tragacanth gums; other available polymers include cellulose or cellulose derivatives, hydroxyl propylmethyl cellulose (HPMC) with different grades like HPMC E15, HPMC E5, HPMC K4M, HPMC K100, hydroxy ethylcellulose, hydroxypropylcellulose, carboxy methyl cellulose, polyvinylpyrrolidone, polyvinyl alcohol, pullulan, gelatin. Modified starches are also used for preparation. There are many types of polymers with different physical properties shown in **Table 3**⁶.

TABLE 3: POLYMERS LIST⁶

Polymer	Special characteristics
Pullulan	It's a natural glucan. It contains a unique linkage alpha 1-4 and 1-6 which is important for the bio adhesive properties. When dissolved in water; 10-15% gives tasteless and odorless non-hygroscopic solution. It decomposes at 25 °C-28 °C. It is highly soluble in water, dilute alkali & insoluble in alcohol, organic solvents except in formamide and dimethylsulphoxide. It is a water solubility enhancer
Gelatin	The higher M.W the better quality of the film and it is an excellent carrier for flavors, smooth mouth feel
Sodium alginate	It has a unique colloidal properties and it is appropriated for loading additives and antimicrobials
Rosin	Hydrophobic biomaterial, glassy solid so brittle soln. add dibutylsebacate (DBS) plasticizer → decreased tensile strength, lowered Tg, increased elongation and flexibility of the film. Its melting point ranges from 100 °C to 120 °C. It is soluble in alcohol, ether, benzene and chloroform
Starch	Starch films are transparent, flavorless, tasteless and colorless. It has limited applications due to poor mechanical strength and its efficient barrier against low polarity compounds. Cova starch had good flexibility and low water permeability. By aging, starch get loose its flexibility property
Maltodextrose	Hygroscopic, High DE (Dextrose Equivalent) has shorter glucose chains, higher sweetness, higher the solubility and lower heat resistance
Chitosan	Natural, nontoxic polymer. It has poor solubility in neutral solutions. It is generally cohesive
Gum carrageenan	Water soluble polymer, it is less opaque than starch films
Cellulose derivatives	It is flexible, transparent, odorless, flavorless, tasteless, water soluble
Hydroxypropyl methyl cellulose HPMC(sorbitol, PEG 200, glycerol)	It is flexible, transparent, odorless, flavorless, tasteless, water soluble. It has high glass transition temperature, good moisture and oxygen polymer. But it has poor water soluble, film adhesion, mechanical strength
Hydroxy propyl cellulose HPC (sorbitol, PEG 200, glycerol)	It is non-ionic water soluble thermoplastic polymer It forms highly flexible films
Kollicoat	It has very high glass transition temperature and good carrying capacity
Poly vinyl alcohol (PVA)	It has good lubricant properties, binding, water retention, thickening and film formation
Poly vinyl alcohol (PVP)	It is odorless, non-toxic that has melting Point 230 °C and 180-190 °C for fully hydrolyzed and partially hydrolyzed respectively. Has high tensile strength and good flexibility Soluble in water and other polar solvents, excellent wetting properties and hygroscopic

Plasticizer: The second major component after polymers to formulate the film is the plasticizer. Plasticizers control the film mechanical

characteristics. The chemical structure and concentration of plasticizers play an important role in alleviating the glass transition temperature of the

polymers¹³. The selection of plasticizer will depend upon its compatibility with the polymer and also the type of solvent employed in the casting of the film. The flow of polymer will get better with the use of plasticizer and enhances the strength of the polymer. Glycerol, propylene glycol, low molecular weight polyethylene glycols, phthalate derivatives like dimethyl, diethyl and dibutyl phthalate, citrate derivatives such as tributyl, triethyl, acetyl citrate, triacetin and castor oil are some of the commonly used plasticizer excipients. However, inappropriate use of plasticizer may lead to film cracking, splitting and peeling of the strip. It is also reported that the use of certain plasticizers may also affect the absorption rate of the drug⁶.

Sweetening Agent: The sweetening agent is a vital ingredient to achieve the patient convenience and to mask any bad taste from the API¹⁵. The sweetening agents are classified into naturally and artificial sweeteners¹³. The natural sweeteners such as glucose, sucrose, liquid glucose, isomaltose and fructose. Fructose sweetener perceives rapidly in mouth, it can be combined with sorbitol or mannitol for better mouth feel and cooling sensation¹³. Artificial sweeteners have two generations; the first one includes aspartame and climate. The second generation includes acesulfame-k, sucralose neotame and altimate. The sweetening agent must be considerably chosen to achieve the sweetness required¹⁴ within the range of concentration which is between 3-6% w/w of the whole amount of ingredients added in the film¹⁵.

Saliva Stimulating Agent: The purpose of using saliva stimulating agents is to increase the rate of production of saliva that would aid in the faster disintegration of the rapid dissolving strip formulations. Generally, acids which are used in the preparation of food can be utilized as salivary stimulants, as citric acid, malic acid, lactic acid, ascorbic acid and tartaric acid. These agents are used alone or in combination between 2 to 6% w/w of weight of the strip¹².

Surfactants: Surfactants are used as solubilizing or wetting or dispersing agent so that the film is getting dissolved within seconds and release active agent immediately. Some of the commonly used are sodium lauryl sulfate, benzalkonium chloride, bezthonium chloride, tweens *etc.*

Flavoring Agents: Preferably up to 10% w/w flavours are added in the OFDF formulations. The acceptance of the oral disintegrating or dissolving formulation by an individual is largely depends on the initial flavour quality which is observed in first few seconds after the product has been consumed and the after taste of the formulation which lasts for at least about 10 min. The selection of flavour is dependent on the type of drug to be incorporated in the formulation. It was observed that age plays a significant role in the taste fondness.

The geriatric population like mint or orange flavours while younger generation like flavours like fruit punch, raspberry *etc.* Flavouring agents can be selected from synthetic flavour oils, oleo resins, extract derived from various parts of the plants like leaves, fruits and flowers. Flavours can be used alone or in the combination. Peppermint oil, cinnamon oil, spearmint oil, oil of nutmeg are examples of flavour oils while vanilla, cocoa, coffee, chocolate and citrus, apple, raspberry, cherry, pineapple are few examples of fruity flavours¹³.

Methods of Preparation of OFDFs: The manufacture of OFDF is done by various methods such as solvent casting, hot-melt extrusion, semisolid casting, solid-dispersion extrusion, and rolling. Here we discuss these methods and the various parameters in which dissolving films are evaluated.

Hot Melt Extrusion: In hot melt extrusion method, as shown in **Fig. 9**, first we must have initial mass dried and obtained with carriers as the drug is mixed with carriers and obtained as solid mass, then the mass is introduced into an extruder which is divided into four zones having different degrees in temperature, zone 1 at 800 °C, zone 2 at 150 °C, zone 3 at 1000 °C, zone 4 at 650 °C. The speed of extruder speed should be set at 15 rpm in order to process the granules inside the barrel of extruder for 3-4 min^{16, 13}. The film is obtained after being pressed into a cylindrical calendar. Hot melt extrusion provides a lot of advantages^{16, 13} like limited operation unite. It minimizes the amount of waste product, doesn't need the use of solvent or water (anhydrous) and produces uniform content because of intense mixing and agitation.

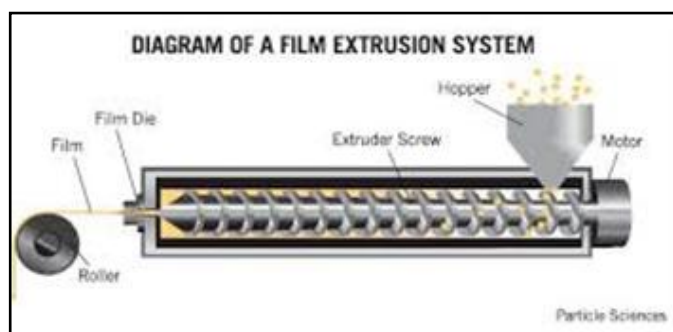


FIG. 9: HOT MELT EXTRUSION EQUIPMENT¹⁶

Semi Solid Casting Methods: In solvent casting method excipients are dissolved in water, then water soluble polymers and the drug is added and stirred to form homogeneous solution. Finally solution is casted in to the petri plate and dried in the semi solid casting method the ratio used is between the acid, insoluble and film forming polymers should be from 1:4.

The Steps of the Method are:¹⁴

Preparation of the solution of water soluble film forming polymer

Add the solution to another containing acid insoluble polymer

Adding plasticizer to obtain a gel mass

Gel is casted into the film using heat controlled drums

Solid Dispersion Extraction: The aim here is to disperse the drug into a melted polymer solution to facilitate its loading. The number of active ingredient used can be one or more that are dissolved in a suitable liquid solvent which acts as an inert carrier. This happens in the presence of amorphous hydrophilic polymer under 70 °C temperature without removing the liquid solvent to obtain the solid dispersion needed. Finally, this obtained solid dispersions are shaped into films by means of dyes. Knowing that the selected solvent or the dissolved drug may be immiscible with the melt of the polymeric form^{16, 14}.

Rolling Method: In the rolling method, as shown in Fig. 10, the film is prepared first by premix preparation, then it is mixed with the drug solution

and added to the roller as shown in Fig. 16. Premix consist of film forming polymers, polar solvents, other excipients and additives but no drug. The whole premix is added to the master batch feed tank, then feed it by a 1st metering pump and control value to one or both of the 1st and 2nd mixers¹⁷. The required amount of drug is then added to the desired mixed, blended with the master batch premix to give a uniform matrix. Specific amount of uniform matrix is then fed to the pan by second metering pumps and the film is finally formed and carried away by support roller and the wet film is then dried using controlled bottom drying^{15, 16, 14}.

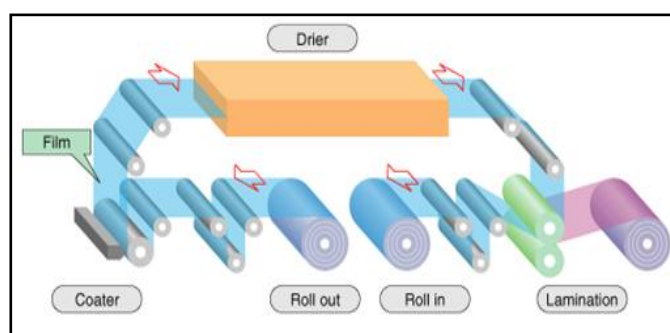


FIG. 10: ROLLING METHOD EQUIPMENT¹⁵

Solvent Casting Method: Solvent casting method, as shown in Fig. 11, is preferred in fast dissolving films. Two separate solvents are done with their soluble ingredients, then they are mixed and stirred to form homogenous solution.

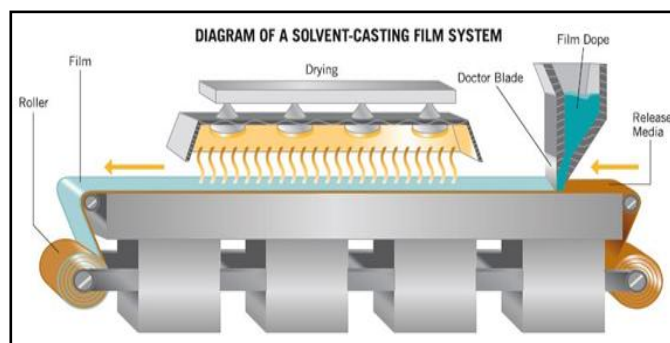


FIG. 11: SOLVENT CASTING EQUIPMENT¹³

At first, water soluble polymers are dissolved in water and form a clear viscous solution, secondly, the drug and its other excipients are dissolved in a suitable solvent¹³. Then both solutions are mixed and stirred at 1000 rpm. Finally, the homogenous solution obtained undergoes vacuum removing of entrapped air, casted and dried into the petri plate and then cut into pieces of desired size^{16, 14, 12}.

Evaluation and Quality Control Tests of OFDFs: Oral Fast Dissolving Films can be evaluated through many tests. The quality control of these types of dosage form requires specific tests which determine the quality and stability of the oral fast dissolving films as it includes the shape, thickness, endurance, hygroscope characteristics of the film, strength, percent elongation, weight variation, drug content, disintegration time, *in-vitro* dissolution test, dryness test, tears resistance, stability studies and the organoleptic evaluation of the film, surface pH of the film. Moreover, there are some evaluation tests but it is not obligatory to be done which are the young modulus, contact angle, transparency, swelling property of the film, morphology studies, film softening, permeation studies, percent moisture loss, storage and packing of the OFDF films¹².



FIG. 12: SCREW GAUGE MICROMETER¹⁶

Dryness Test: Dryness test or the tackiness test. The aim of this test is to evaluate the tenacity of the film being able to grip the solvent and also to see the adherence of the film as it is done with eight stages known for film drying and these are set to touch, free from dusts, surface dry, dry to react, dry handling, dry to touch, dry hard and free from finger prints or dry print free¹⁶. Tack is defined as the persistence in which the strip sticks to a piece of paper which is pressed into contact with the strip.

Tensile Strength: Tensile strength; the aim of this test is to evaluate and to measure the maximum force applied to a point at which the film breaks. This test can be calculated by the applied load at the cut off or the fracture divided by the cross-sectional strip. The equation below shows the calculation of how to calculate the tensile strength¹².

$$\text{Tensile strength} = \frac{\text{Load at breakage}}{\text{strip thickness} \times \text{strip width}}$$

Folding Endurance: Folding endurance in which the film is rolled or folded for many times until it

Thickness Test: In the previous studies of the evaluation of OFDFs films the thickness test was done with simple techniques and methods. Later on the development of the thickness has been increased. The film thickness is measured by two materials or equipment either by digital Vernier calipers, as shown in Fig. 12, or the screw gauge micrometer, as shown in Fig. 13.

The film thickness should be tested and evaluated from five different locations especially the four corners and at the center of the film. The thickness range of the OFDFs should be between the ranges of 5-200 micrometer. The aim of this test is to ensure the uniformity of the drug content and accuracy of the dose¹⁶.



FIG. 13: DIGITAL VERNIER CALIPERS¹³

breaks; this will determine the endurance of the film. As the times of folding increase the endurance of film increases. It is a directly proportional relation¹².

Tears Resistance: Tear resistance in which the film is presented to high shear force in order to evaluate the tear resistance of the film¹⁴.



FIG. 14: ELEMENDOR TEAR THWINGALBRET¹⁶

Usually the applied load is low or minimal rate 51mm/min. It is defined as newton pound force. It

is generally found near the start of tearing which is able to tear the film¹⁶. It is done by elementary tear thwing -albrt tearing test as shown in the **Fig. 14**.

Organoleptic Evaluation: Organoleptic evaluation studies. In this test the evaluation is carried out to see some properties of the film. Special apparatus is used to evaluate the taste of the strip by special controlled human taste panels¹⁴. The organoleptic properties needed for the OFDFs includes the color, flavour and taste. These formulation will dissolve and dissolute in the buccal cavity so it is very important to take in considerations for these organoleptic properties to increase patient compliance. As for depressed people and children colored film will be a good choice for administration. The second organoleptic property is the odor of the film. The flavour which is loaded on the film should provide an acceptable odor to the formulation. An ideal flavouring agent should mask the odor of the excipients¹⁶.

Surface pH: The principle of this test is to determine the pH of film. Since that the OFDF films are administered through buccal cavity which may cause side effects therefore pH must be adjusted. The pH of the OFDFs should be close to neutral pH. The film is combined with a pH electrode; the pH is measured by bringing the electrode on the surface of the film as shown in **Fig. 15**.



FIG. 15: THE SURFACE pH METER¹⁶

The minimum requirements for this test is at least six oral films strips and the mean standard deviation is calculated¹⁶.

Drug Content Determination: The aim of this test is to measure the drug content inside the film or to evaluate the drug uniformity inside the film. This is measured by any standard assay method described

for specific API in any standard pharmacopeia. The content uniformity is measured by estimating the API content or value. The limit of content uniformity is 85-115 percent^{16,14}.

In-vitro Dissolution: Dissolution is a term which defines the amount of the drug that goes into solution per unit time under special or certain conditions of liquid solid interface, temperature and the solvent concentration¹⁶. The apparatus used in this test is the simple paddle with sinker dissolution apparatus or the standard basket or paddle apparatus which is described in any pharmacopeia, as shown in **Fig. 16**. As for the OFDFs film it is better to us the paddle with sinker apparatus because the film might float on the surface of the aqueous solution. The dissolution medium will be selected as per the sink conditions and the highest dose of the active pharmaceutical ingredient¹².



FIG. 16: SIMPLE PADDLE WITH SINKER DISSOLUTION APPARATUS¹²

Young Modulus: It is known as the elastic modulus. It is the measure of the stiffness of the film strip it is represented as the ratio of the stress applied over strain in the area of elastic deformation. It is calculated by the following equation:

$$\text{Young modulus} = \frac{\text{Force of corresponding strain}}{\text{cross sectional area}}^{15}$$

Contact Angle: The aim of this test is to evaluate the wetting properties, dissolution and disintegration time of the OFDFs^{16,13}.

Contact angle is measured by goniometer (AB Lorentz and wetter Germany) apparatus at room temperature, as shown in **Fig. 17**. The procedure of this test requires a dry film in order to see the wetting properties of the film. Take the dry film and put a drop of distilled water on its surface. The images of the droplets will be recorded in 10 sec of

deposition by a digital camera. Then the contact angle of the film is measured in both sides and the average angle is calculated¹⁵.



FIG. 17: GONIOMETER (AB LORENTZ AND WETTER GERMANY) APPARATUS¹⁵

Swelling Property: The aim of this test is to see the swelling amount of the film. This is done by using a simulated solution of saliva which is used to conduct the swelling property study. The samples are weighed and placed on a stainless steel dish 15 ml were added by using saliva solution. The film is placed inside the saliva solution and the increase in weight of the film was observed until a constant weight is observed¹⁴.

Transparency Test: The test is done by placing the film specimen inside the internal side of the ultraviolet spectrophotometer cell. The transparency is measured by the equation below.

$$\text{Transparency} = (\text{Log}T_{600})/b = -\epsilon C$$

In which T₆₀₀ is the transmittance at 600 nm and b is the thickness of the film in millimeters and C is the concentration¹⁴ as shown in Fig. 18.



FIG. 18: ULTRAVIOLET SPECTROPHOTOMETER APPARATUS¹⁴

Percent Elongation: The percent elongation test in which the stress is applied to a film (2 × 2 cm²). This is known as strain. The strain is defined as the deformation of the film strip before it gets broken

because of the stress applied. This is measured by a machine called Hounsfield universal testing machine¹². As the plasticizer content in the film increases the percent elongation. It is calculated as in the equation below.

$$\text{Percent elongation} = \frac{\text{Increase in length of strip} \times 100}{\text{Initial length of strip}}$$

In-vitro Disintegration Test: This type of test requires the USP disintegration apparatus. The same test that is done to the ODTs is done to the OFDFs films. Although there are no official guidelines to the OFDFs, the disintegration will differ depending on the formulation as it will range from 5-30 sec to disintegrate¹² as shown in Fig. 19.



FIG. 19: USP DISINTEGRATION APPARATUS¹²

Characteristics of the Drug to be loaded on the OFDFs Strip: There are some requirements concerning the drug in order to be loaded on the film. Since not all drugs are ready to be loaded. The drug must have Pleasant taste and odour, low dose up to 75 mg, smaller or moderate molecular weight, good stability, solubility in the saliva inside the buccal cavity, it should be partially unionized at the pH of the oral cavity and it should have the ability to permeate oral mucosal tissue. The OFDFs consists of a very thin oral strip which is simply placed on the patient tongue or any mucosal tissue, to be wetted by saliva, gets hydrated and adheres onto the site of application then directly into the systemic circulation¹⁵.

Drugs Approved by FDA: Over the past few years, much research and development has been directed to formulating ODF products with prescription drugs. As a result, the year 2010 was quite significant for the ODF sector of the drug delivery industry; it is the year that the first prescription ODF was approved in the European

Union to the United States. However, in the past two years, only two new prescription ODFs have made it to market. The following **Table 4** provides

an overview of approved prescription ODF products available in Japan, European Union to the United States.

TABLE 4: DRUGS APPROVED BY FDA¹⁵

OTF developer	Distributor/ Marketer	Product name	Drug	API content	Country / Region	Year
Kyu Kyu	Mochida	Voglibose OD film 0.2	Voglibose	0.2 mg	Japan	2006 launch
Kyu Kyu	Mochida	Voglibose OD film 0.3	Voglibose	0.3 mg	Japan	2006 launch
Kyu Kyu	Teva-Kowa Pharma	Amlodipine OD film 2.5	Amlodipine	2.5 mg	Japan	January 2010 launch
Kyu Kyu	Teva-Kowa Pharma	Amlodipine OD film 5	Amlodipine	5 mg	Japan	January 2010 launch
Kyu Kyu	Elmed Eisai	Donepezil HCl OD film 3	Donepezil	3 mg	Japan	November 2011 launch
Kyu Kyu	Elmed Eisai	Donepezil HCl OD film 5	Donepezil	5 mg	Japan	November 2011 launch
Kyu Kyu	Mochida	Loratadine OD film 10	Loratadine	10 mg	Japan	November 2011 launch
APR/Labtec/ MonoSol Rx	Bio Alliance Pharma SA	Setofilm	Ondansetron	0.4 mg, 0.8 mg	EU	March 2012 Approval
APR/Labtec/ MonoSol Rx	Still being sought	Zolmitriptan rapid film	Zolmitriptan	2.5 mg, 5 mg	EU	March 2012 Approval

OFDFs in Chemotherapeutic Induced Nausea and Vomiting: One major advantage for OFDFs in the chemotherapeutic-induced nausea and vomiting (CINV) this is the most terrified-related side effect for cancer patients receiving chemotherapy who is presented to nausea and vomiting while taking this regimen treatment especially for children who cannot tolerate the pain of the intravenous anti-emetic agent, they are presented also to vomiting while taking the medication of anti-emetic Ondansetron in IV form due to irritation and GIT upset resulting from chemotherapy side effects. The optimum choice for administering the drug in this case is the OFDFs loaded with the drug Ondansetron as it is the drug of choice for CINV patients.

Strength: 8 mg.

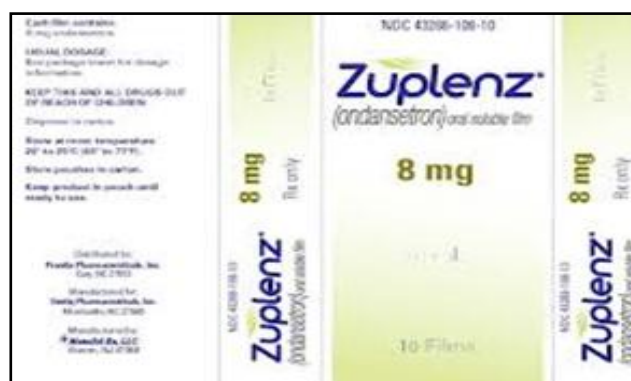


FIG. 20: ZUPLENZ

The OFDFs films overcome the intravenous dosage form drawbacks in all terms except bioavailability as its bioavailability is nearly to unity. The film strip is pain free, taken without water in these cases, no irritation and it is more convenient for the patient to administer. Moreover the OFDFs has a convenient dosing, taste masking, site specific, local action and large surface area that enhance the disintegration and the dissolution time in the oral cavity. Also the buccal delivery of a drug via thin film improves the onset of action, lower dosing, enhance the efficacy of the drug and high safety profile¹⁵.

Triaminic:
 Generic name: Dextromethorphan + phenylephrine.
 Indication: Cough suppressant and nasal decongestant.
 Strength: 5mg + 2.5mg



FIG. 21: TRIAMINIC

Zuplenz:
 Generic name: Ondansetron
 Indication: Nausea and vomiting
 Desired use: Chemotherapeutic induced nausea and vomiting.

Amlodipine:
 Indication: Anti-hypertensive drug
 Class: calcium channel blocker
 Strength: 5 mg



FIG. 22: AMLODIPINE

Applications of OFDFs: The OFDF doesn't serve the pharmaceutical field only, it has other applications in terms of cosmetics, sweeteners, nicotine replacement therapy, and energy replenishment. Non-pharmaceutical oral thin films besides breath freshening applications, one logical expansion of the edible thin film industry was to develop oral care products. As a result, several Consumer Healthcare companies have now commercialized ODF products for varying uses that include teeth whitening and the prevention of snoring¹⁵. The first fully dissolvable whitening strips, as shown in Fig. 23, were commercialized in 2007 by McNeil (Johnson and Johnson) as Listerine Whitening® Quick Dissolve Strips.



FIG. 23: LISTERINE WHITENING® OFDF FILM¹⁵

Another oral care application offshoot for ODF technology has been developed to reduce snoring during sleep, as shown in Fig. 24. BioFilm created Snoreeze oral strips that were commercialized in 2006 via the company Passion for Life Healthcare. Each strip contains guar gum and micro-encapsulated peppermint oil to reduce snoring. The ODF is placed on the roof of the mouth and allowed to dissolve for 20-30 sec. This allows the ingredients to coat the back of the throat and snoring is theoretically reduced.



FIG. 24: SNORE RELIEVING OFDFs¹⁵

Food industry and sensation enhancement applications one of the earlier uses for edible film technology was to develop products for the food industry. This use of edible films is becoming a popular trend. The films can be used to add flavors, maintain freshness, improve appearance, and even help prevent fungal and bacterial growth. Ascona Ingredients Ltd., is a Canadian corporation that develops and manufactures edible films for confectionary, nutraceutical, and pharmaceutical applications. An edible film used to cover meat products and made from water-soluble polysaccharides.



FIG. 25: FLAVORED ODF¹⁵

In June 2010, American Greetings Corporation, working with First Flavor Inc., introduced a new greeting card under the name Tasties™. Each card in the new line features a flavoured dissolvable ODF strip, individually pouched and sealed inside, as shown in Fig. 25. This allows the recipient to physically taste relevant flavors, which adds extra dimension to the greeting card ritual¹⁵.

Tsukioka Film Pharma Co. is a relative newcomer to the thin film industry. The company started in hot stamping, then progressed to edible gold leaf, edible films for food and cosmetics, and most recently into pharmaceutical films. Tsukioka offers

fast dissolve strips with a variety of nutraceutical ingredients as well as fresh breath strips in various flavors such as mint, orange, and lemon, as shown in **Fig. 26**. The company is working with Gifu International Institute of Biology to create a sustained release pharmaceutical film and has invested in a state-of-the-art plant dedicated to pharmaceutical film manufacturing in Techno Plaza in Kakamigahara-City, Japan. Tsukioka plans to obtain FDA and KFDA c-GMP certificates and expand their OEM manufacturing of pharmaceutical films in anticipation of strong business with local and global pharmaceutical companies¹⁵.



FIG. 26: TUSKIOKA FLAVORED ORAL FAST DISSOLVING FILM¹⁵

Permeation Studies: Permeation studies are carried using the modified Franz diffusion cell by using porcine buccal mucosa. The mucosa is mounted between the donor and receptor compartment of Franz diffusion cell. The receptor compartment is filled with buffer and maintained at $37\text{ }^{\circ}\text{C} \pm 0.2\text{ }^{\circ}\text{C}$ and the hydrodynamics were maintained by stirring with a magnetic bead at 50 rpm. One previously weighed film is placed in intimate contact with the mucosal surface of the membrane that should be previously moistened with a few drops of simulated saliva. The donor compartment is filled with 1 ml of simulated saliva of pH 6.8. Samples are withdrawn at suitable interval, replacing the same amount with the fresh medium. The percentage of drug permeated is determined by measuring the absorbance by selected analytical method.

Stability Study: Stability study of fast dissolving films is carried out for all the batches according to ICH guidelines. After predetermined time intervals,

the films are evaluated for the drug content, disintegration time and physical appearance¹⁹.

Palatability Test: Palatability study is conducted on the basis of taste, after bitterness and physical appearance. All the batches are rated A, B and C grades as per the criteria. When the formulation scores at least one A grade, formulation is considered as average. When the formulation scores two A grade then it would be considered as good and the one with all three A grade it would be the very good formulation.

Grades: A= very good, B= good, C=poor.

Packaging of Fast Dissolving Film: In the pharmaceutical industry it is vital that the package selected adequately preserve the integrity of the product. Expensive packaging, specific processing, and special care are required during manufacturing and storage to protect the dosage of other fast dissolving dosage forms. A variety of packaging options are available for fast dissolving films. Single packaging is mandatory for films, which are pharmaceutical products; an aluminum pouch is the most commonly used packaging format. APR-Labtec has developed the Rapid card, a proprietary and patented packaging system, which is specially designed for the rapid films. The rapid card has same size as a credit card and holds three rapid films on each side. Every dose can be taken out individually.

The material selected must have the following characteristics:

- They must protect the preparation from environmental conditions.
- They must be FDA approved.
- They must meet applicable tamper-resistant requirement.
- They must be non-toxic.
- They must not be reactive with the product.
- They must not impart to the product tastes or odors.

CONCLUSION: Among the different routes of drug delivery, oral route is nominated to be the most favoured and highly accepted to patients and physicians. The buccal region is one of the most convenient and easily approachable routes of administration for the delivery of the therapeutic

agents to be used locally and systemically. The mucosa is considered one of the potential sites of drug administration.

There are some variables that can affect drug absorption, including physicochemical properties of the drug as molecular size; for the hydrophilic substance, the rate of absorption is dependent upon the molecular size. Small molecules (< 75-100 Da) cross the mucosa rapidly. However, this permeability decreases as the molecular size increases. Also, lipid solubility; where the permeability is dependent upon the oil water partition coefficient, so more lipid compounds have higher permeability. Ionization can affect the absorption of the drug as the degree of ionization depends on the function of both pH and pKa at the mucosal surface. For weak acids and bases, only the unionized forms are lipid soluble and as ionization increase, maximum absorption of many compounds is achieved orally fast dissolving film is a new drug delivery system for the oral delivery of the drugs. It was developed on the basis of technology of the transdermal patch. The delivery system consists of a very thin oral strip, which is simply placed on the patient's tongue or any oral mucosal tissue, instantly wet by saliva, where the film rapidly hydrates and adheres onto the site of application. It then rapidly disintegrates and dissolves to release the medication.

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